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Approved for use through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

*(to be used for all correspondence after initial filing)*

Application Number	10/043,337
Filing Date	January 14, 2002
First Named Inventor	Wayne Ernest Conrad
Group Art Unit	1724
Examiner Name	Richard L. Chiesa
Total Number of Pages in This Submission	12811-039

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	BERESKIN & PARR
Signature	
Date	May 8, 2003

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

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# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

## Complete if Known

Application Number	10/043,337
Filing Date	January 14, 2002
First Named Inventor	Wayne Ernest Conrad
Examiner Name	Richard L. Chiesa
Art Unit	1724
Attorney Docket No.	12811-039

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

Deposit Account:

Deposit Account Number  
Deposit Account Name

022095

Bereskin &amp; Parr

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments

Charge any additional fee(s) during the pendency of this application

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001	2001	750 375 Utility filing fee	
1002	2002	330 165 Design filing fee	
1003	2003	520 260 Plant filing fee	
1004	2004	750 375 Reissue filing fee	
1005	2005	160 80 Provisional filing fee	
SUBTOTAL (1) (\$)			0

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
20	20	** =	0
3	3	** =	0
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202	2202	18 9 Claims in excess of 20	
1201	2201	84 42 Independent claims in excess of 3	
1203	2203	280 140 Multiple dependent claim, if not paid	
1204	2204	84 42 ** Reissue independent claims over original patent	
1205	2205	18 9 ** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$)			0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051	130	2051 65 Surcharge - late filing fee or oath	
1052	50	2052 25 Surcharge - late provisional filing fee or cover sheet	
1053	130	1053 130 Non-English specification	
1812	2,520	1812 2,520 For filing a request for ex parte reexamination	
1804	920*	1804 920* Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805 1,840* Requesting publication of SIR after Examiner action	
1251	110	2251 55 Extension for reply within first month	
1252	410	2252 205 Extension for reply within second month	
1253	930	2253 465 Extension for reply within third month	
1254	1,450	2254 725 Extension for reply within fourth month	
1255	1,970	2255 985 Extension for reply within fifth month	
1401	320	2401 160 Notice of Appeal	
1402	320	2402 160 Filing a brief in support of an appeal	
1403	280	2403 140 Request for oral hearing	
1451	1,510	1451 1,510 Petition to institute a public use proceeding	
1452	110	1452 55 Petition to revive - unavoidable	
1453	1,300	1453 650 Petition to revive - unintentional	
1501	1,300	2501 650 Utility issue fee (or reissue)	
1502	470	2502 235 Design issue fee	
1503	630	2503 315 Plant issue fee	
1460	130	1460 130 Petitions to the Commissioner	
1807	50	1807 50 Processing fee under 37 CFR 1.17(q)	
1806	180	1806 180 Submission of Information Disclosure Stmt	180.00
8021	40	8021 40 Recording each patent assignment per property (times number of properties)	
1809	750	2809 375 Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810 375 For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801 375 Request for Continued Examination (RCE)	
1802	900	1802 900 Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

180.00

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Philip C. Mendes da Costa	Registration No. (Attorney/Agent)	33,106	Telephone (416) 364-7311
Signature	May 8, 2003			

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Barristers and Solicitors/Patent and Trade Mark Agents  
Practice Restricted to Intellectual Property Law

May 7, 2003

Philip C. Mendes da Costa  
B.Sc. (Chem. Eng.), LL.B.  
416 957 1695 pmdcosta@bereskinparr.com

>Your Reference: 10/043,337  
Our Reference: 12811-039

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**INFORMATION DISCLOSURE  
STATEMENT UNDER CFR 1.56 and CFR 1.97(c)**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sirs:

**Re: United States Patent Application No. 10/043,337  
For: VACUUM CLEANER UTILIZING ELECTROSTATIC FILTRATION AND  
ELECTROSTATIC PRECEIPTATOR FOR USE THEREIN  
Filed: January 14, 2002  
Applicant: Wayne Ernest Conrad**

In accordance with 37 CFR 1.97 and 1.98, and in recognition of the duty of disclosure set forth in 37 CFR 1.56, applicant hereby submits a Supplemental Information Disclosure Statement including (1) a listing of patents and other publications of which applicant is aware on form PTO-1449; (2) a copy of each listed item.

This Supplemental Information Disclosure Statement is filed subsequent to the issuance of the first Office Action but prior to the issuance of either a Final Action or a Notice of Allowance.

The prescribed fee of \$180.00 is enclosed in our cheque number 5119. Please deduct any additional fees which may be required or remit any excess fees, to our deposit account no. 02-2095.

05/19/2003 TWOODRUF 00000001 10043337  
01 FC:1806  
180.00 0P

please send your reply to:

Scotia Plaza, 40 King Street West, 40th Floor  
Toronto, Ontario, Canada M5H 3Y2  
416 364 7311 fax: 416 361 1398

Meadowvale Corporate Centre, 2000 Argentia Road  
Plaza 4, Suite 430, Mississauga, Ontario, Canada L5N 1W1  
905 812 3600 fax: 905 814 0031

[www.bereskinparr.com](http://www.bereskinparr.com)

In view of the foregoing, it is respectfully submitted that the Supplemental Information Disclosure Statement should be considered at this time by the Examiner.

Respectfully submitted,



Philip C. Mendes da Costa  
Registration No. 33,106  
/pd  
Encl.